## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

- 1								
	OMB Number:	3235-0287						
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

					or Section	n 30(h) of the I	nvestmei	nt Con	npany Act o	of 1940						
1. Name and Address of Reporting Person <sup>*</sup>					2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Mallinckrodt plc</u> [MNKPF]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Norton David Y					I	L		1			X Direc	ctor		10% Ov	wner	
(Last) 675 MCI	(Last) (First) (Middle) 675 MCDONNELL BLVD.				3. Date of Earliest Transaction (Month/Day/Year) 06/16/2022					Offic belov	er (give title v)		Other ( below)	specify		
					4. If Amendment, Date of Original Filed (Month/Day/Year)					6. 1	6. Individual or Joint/Group Filing (Check Applicable					
(Street)											Lin	,		_		
HAZELWOOD MO 63042										Form filed by One Reporting Person Form filed by More than One Reporting						
												Form		ore tha	n One Rep	orting
(City)	(S	itate) (A	Zip)													
		Table	I - Non-	-Deriva	tive Sec	urities Acc	luired,	Dis	posed o	f, or Ben	eficia	ally Own	ed			
1. Title of Security (Instr. 3) 2. Transac Date (Month/Da				Execution Date,		3. 4. Securities Acquired (A   Transaction Disposed Of (D) (Instr. 3, 5)   8) 5)			nd Securi Benefi Owned	rities F ficially (I ed Following (I		n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership			
						Code	v	Amount	(A) or (D)	Price	Transa	Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)	
		Та				rities Acqu , warrants,							d	-		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deem Execution if any (Month/Da	n Date,	4. Transactio Code (Inst 8)		6. Date Expirati (Month/	ion Da		7. Title an Amount o Securities Underlyin Derivative Security ( 3 and 4)	f g	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownershij (Instr. 4)

### Explanation of Responses:

#### **Remarks:**

On October 12, 2020, Mallinckrodt plc, an Irish public limited company in examination under Part 10 of the Companies Act 2014 of Ireland ("Mallinckrodt"), and certain of its subsidiaries voluntarily initiated proceedings under chapter 11 of title 11 of the United States Code (the "Bankruptcy Code") in the U.S. Bankruptcy Court for the District of Delaware (the "Bankruptcy Court"). On February 3, 2022, the Bankruptcy Court issued an opinion (which was subsequently revised on February 8, 2022 to make minor corrections) stating its intention to confirm Mallinckrodt's Fourth Amended Joint Plan of Reorganization of Mallinckrodt Plc and Its Debtor Affiliates Under Chapter 11 of the Bankruptcy Code. On March 2, 2022, the Bankruptcy Court entered an order confirming the Fourth Amended Joint Plan of Reorganization (with Technical Modifications) of Mallinckrodt Plc and Its Debtor Affiliates Under Chapter 11 of the Bankruptcy Code (as amended, supplemented or otherwise modified, the "Plan"), and on June 16, 2022 (the "Effective Date"), the Plan became effective and Mallinckrodt emerged from bankruptcy. On the Effective Date, all of Mallinckrodt's provides provides down into rother securities or agreements to acquire such ordinary shares, were cancelled and extinguished pursuant to the Plan. In connection with emergence, the reporting person ceased to be a Section 16 reporting person. This Form 4 constitutes a notice to the Issuer for purposes of Part V of the Companies Act 2014.

Date Exercisable

(D)

(A)

Expiration Date

#### /s/ Mark J. Casey, Attorney-in-06/21/2022

Fact

Amount or Number

Shares

Title

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

v

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b)